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## Health Overview and Scrutiny Committee

14 December 2009

### Report of the Director of Housing & Adult Social Services

## 2009/10 Finance and Performance Second Quarter Monitoring Report

### Summary

1. This report provides an update of the 2009/10 position for both finance and performance in Adult Social Services, the main area covered by the Health Overview and Scrutiny Committee.

### Analysis

#### Finance – overview

2. The net approved budget for Adult Social Services is £40m and, after identifying £665k of in-year savings to address cost pressures in the year, it is currently projected that Adult Social Services will overspend by £1,053k.
3. The underlying causes of the overspend reported at Monitor 1 still exist, namely increases in the number of customers supported at home, increased Direct Payment take up and increases in the number of Mental Health residential and nursing placements. In addition to these issues, the following areas are contributing to the increased forecast:
  - An increase in the staffing establishment at one Elderly Peoples Home (EPH) to respond to an increase in the customers with a very high level of need and to deal with safeguarding issues (£40k).
  - A further increase in the complexity of community based support for Learning Disabilities, in particular a need to provide sleep in cover for more customers (£80k).
  - An increase in the number and cost of residential and nursing placements (£170k).
  - A further increase in the number of Older People needing community based supports (£90k).
  - Continued use of agency staff across front line services (£84k).
4. These overspends are offset by corrective in year action by the directorate of £665k designed to reduce the gross overspend position. This is comprised of the redirection of grants (£389k), reduction in training expenditure (£150k) and vacancy management controls (£126k).
5. The increase in demand from older and disabled people was anticipated and the York Long Term Commissioning Strategy reported to members in October 2007 projected that by 2020 there would be an increase of 31% in the over 65 population and, within this number, an increase in the over 85s of 60%. People over 85 are more likely to need support from health and

social care services. The strategy also went on to project the likely impact on service demands and costs.

6. It is for this reason that the major reviews of direct services were agreed by members and these are being brought within the broader More for York programme. However, within this context of increasing demand it will be very difficult to produce a balanced outturn position in 09/10 in advance of the completion of the major reviews.
7. As part of the budget setting process for 09/10 savings were offered in a number of areas that did not affect service delivery. They included:
  - an increase in the existing vacancy factor by 1% saving £85k.
  - a 1% efficiency against premises, supplies and services budgets and a minor base budget exercise that had been undertaken to drive out further efficiencies saving £200k.
  - Further savings identified corporately in administration, use of external consultants, energy budgets, transport, and improved staff attendance totalling £167k.

Further in-year savings are being sought to try to reduce the level of overspend.

#### Performance – overview

8. *NPI 130 (LAA): Self directed support for Adults.* The department continues to make improvements to the number of adults receiving self-directed support, with performance currently running at 8.54% (projecting 14% for year-end). This compares well to the 7.39% outturn for 2008/09 and if achieved, would exceed the 12.5% LAA target and move York from the third to top quartile based on Q1 bench-marking data from other local authorities on the Price Waterhouse Cooper (PwC) database. We have set a more challenging internal target of 15% (which came out of regional improvement meetings) and York's forecast performance would fall 1% short of this.
9. *NPI 135 (LAA): % of carers receiving needs assessments.* Performance is currently running at 16.2%, with a year-end projection of 22.5% (which matches the 2009/10 LAA target). If achieved, this would raise York from the bottom to the third quartile, based on PwC Q1 bench-marking data.
10. *NPIs 132 & 133 – timeliness of social care assessments and packages.* Both these indicators cover areas that need to show improvement to address performance issues highlighted in the last Adult Social Care inspection. Progress so far this year is mixed:
  - Timeliness of assessments: Performance has improved from 67.1% to 78.37%, which exceeds the 2009/10 target of 77%. If this was maintained, it would move York up from the bottom to the 3rd quartile, based on PwC comparative data.
  - Timeliness of care packages: Performance has dropped in the first period of this year (currently 81.6% compared 90.3% in 2008/09). This falls short of the 90% target set for 2009/10 and if no further improvement is made this year, this will move York from 3rd to the

bottom quartile of unitary authorities. There are a number of issues that have caused this drop in performance, including a lack of availability of EMI (elderly mentally ill) beds leading to people having to wait longer from a completed assessment. HASS are taking a number of actions to address performance, including addressing incorrect reporting (i.e. how certain types of residential stays are reported), and improving the delivery of re-enablement home care when people leave hospital is also being explored. These actions should lead to improvement and performance is expected to be closer to target by March 2010.

### Corporate Priorities

11. The information included in this report demonstrates progress on achieving the council's corporate strategy (2007-11) and the priorities set out in it.

### Implications

12. There are no financial, human resources, equalities, legal, crime & disorder, information technology, property or other implications associated with this report.

### Risk Management

13. There are no risks associated with this report.

### Recommendations

14. As this report is for information only, there are no recommendations.

### Contact Details

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Co-Author's Name	<b>Report Approved</b>	<input checked="" type="checkbox"/>	<b>Date</b> 30-11-09
<b>Specialist Implications Officer(s)</b> <i>None</i>			
<b>Wards Affected:</b> <i>List wards or tick box to indicate all</i>			<b>All</b> <input checked="" type="checkbox"/>
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### Background Papers

Second Performance and Financial Report for 2009/10,  
Executive 17<sup>th</sup> November 2009

### Annexes

None